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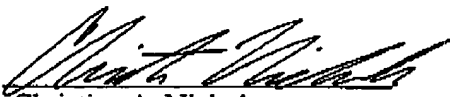
Title: TECHNIQUES FOR DLM OPTIMIZATION WITH TRANSFERRING LOCK  
INFORMATION**INFORMAL PROPOSED AMENDMENT**

Sir:

Applicant proposes the following amendments to the claims of the above-referenced application. It is respectfully submitted that the following amended claims are allowable over the cited references. Please call the Applicant's attorney, Christian Nicholes, at (408)414-1224, to discuss whether the proposed amended claims are allowable.

Respectfully submitted,

Hickman Palermo Truong &amp; Becker, LLP

By 

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1. (Currently Amended) A method for re-distributing, over a cluster of one or more active nodes, management of locks on shared resources, the method comprising:  
establishing a first master node as master for one or more resources in response to a hash value range being mapped to said first master node, wherein the hash value range is associated with said one or more resources by a hash function;  
transferring responsibility for mastering said one or more resources from the first master node to a second master node during a transfer time interval;  
during the transfer time interval, a receiving node receiving new lock requests, wherein said receiving node is one of the first master node and the second master node;  
~~and~~  
during the transfer time interval, processing the new lock requests;  
re-mapping the hash value range to the second master node at the first master node; and  
sending initial lock information resident on the first master node at a start of the transfer time interval to the second master node;  
wherein transfer of locks from the first master node to the second master node begins at a first point in time;  
wherein the transfer of locks from the first master node to the second master node ends at a second point in time;  
wherein the transfer time interval begins at the first point in time and ends at the second point in time; and  
wherein other active nodes in the cluster acknowledge that said other active nodes have been informed that said second master node is assuming responsibility for mastering said one or more resources.

2. (Canceled)
3. (Currently Amended) The method of ~~Claim 2~~Claim 1, said transferring further comprising, in response to receiving acknowledgements from all active nodes in the cluster by a full acknowledgement time, sending updated lock information resident on the first master node at said full acknowledgment time to the second master node, wherein the transfer time interval ends at an update time of said sending updated lock information.
4. (Original) The method of Claim 1, said transferring further comprising:  
receiving initial lock information at the second master node, said initial lock  
information resident on the first master node at a start of the transfer time  
interval;  
re-mapping the hash value range to the second master node at the second master node;  
and  
sending a broadcast message to all other nodes in the cluster that the second master  
node is a new master node for resources associated with the hash value range.
5. (Previously Presented) A method for re-distributing, over a cluster of one or more active nodes, management of locks on shared resources, the method comprising:  
establishing a first master node as master for one or more resources in response to a  
hash value range being mapped to said first master node, wherein the hash value  
range is associated with said one or more resources by a hash function;  
transferring responsibility for mastering said one or more resources from the first  
master node to a second master node during a transfer time interval; and

processing lock requests received at a receiving node of the first master node and the second master node by the receiving node during the transfer time interval, said transferring further comprising:

receiving a broadcast message at a set of nodes in the cluster, the set of nodes including all nodes in the cluster except the first master node and the second master node; wherein the broadcast message indicates that the second master node is a new master node for resources associated with the hash value range;

re-mapping the hash value range to the second master node at each node in said set of nodes in the cluster;

sending an acknowledgment to the first master node from each node in said set of nodes in response to the broadcast message, said acknowledgement indicating that said each node in said set of nodes has been informed that said second master node is assuming responsibility for mastering said one or more resources; and

after sending the acknowledgement, said each node in said set of nodes sending subsequent lock requests for resources associated with the hash value range to the second master node.

6. (Original) The method of Claim 4, said transferring further comprising receiving updated lock information from the first master node at the second master node wherein the transfer time interval ends at an update time of said receiving updated lock information.
7. (Original) The method of Claim 6, wherein:  
lock requests include a sequence number; and

said method further comprises deleting stale requests among the updated lock information received at the second master node, the stale requests indicated by sequence numbers earlier than sequence numbers in lock requests already processed on the second master node.

8-14. (Canceled).

15. (Original) A computer-readable medium bearing instructions for re-distributing, over a cluster of one or more active nodes, management of locks on shared resources from a first master node to a second master node during a transfer time interval, the instructions arranged to cause one or more processors on the first master node to perform:

re-mapping a hash value range initially assigned to the first master node to the second master node, wherein the hash value range is associated with one or more of the shared resources by a hash function;

sending initial lock information resident on the first master node at a start of the transfer time interval to the second master node;

receiving acknowledgments at the first master node from other active nodes in the cluster; said acknowledgements indicating that said other active nodes have been informed that said second master node is assuming responsibility for mastering said one or more resources.

16. (Original) The computer-readable medium of Claim 15, said instructions further causing the one or more processors to perform, in response to receiving acknowledgements from all active nodes in the cluster by a full acknowledgement time, sending updated lock information resident on the first master node at said full

acknowledgment time to the second master node, wherein the transfer time interval ends at an update time of said sending updated lock information.

17. (Original) The computer-readable medium of Claim 15, said instructions further causing the one or more processors to perform processing lock requests received during the transfer time interval until receiving acknowledgements from all active nodes in the cluster.
18. (Currently Amended) A computer-readable medium bearing instructions for re-distributing, over a cluster of one or more active nodes, management of locks on shared resources from a first master node to a second master node during a transfer time interval, the instructions arranged to cause one or more processors on the second master node to perform:
  - receiving initial lock information resident on the first master node at a start of the transfer time interval;
  - re-mapping a hash value range initially assigned to the first master node to the second master node, wherein the hash value range is associated with one or more of the shared resources by a hash function; and
  - sending a broadcast message to all other nodes in the cluster that the second master node is a new master node for resources associated with the hash value range;

wherein other active nodes in the cluster acknowledge that said other active nodes have been informed that said second master node is assuming responsibility for mastering said one or more resources.

19. (Original) The computer-readable medium of Claim 18, said instructions further causing the one or more processors to perform receiving updated lock information from the first master node wherein the transfer time interval ends at an update time of said receiving updated lock information.
20. (Original) The computer-readable medium of Claim 19, wherein:  
lock requests include a sequence number; and  
said instructions further cause the one or more processors to perform deleting stale requests among the updated lock information received at the second master node, the stale requests indicated by sequence numbers earlier than sequence numbers in lock requests already processed on the second master node.
21. (Original) A computer-readable medium bearing instructions for re-distributing, over a cluster of one or more active nodes, management of locks on shared resources from a first master node to a second master node during a transfer time interval, the instructions arranged to cause one or more processors on a third node to perform:  
receiving a broadcast message indicating that the second master node is a new master node for resources associated with a hash value range, wherein the hash value range is associated with one or more of the shared resources by a hash function;  
re-mapping the hash value range to the second master node;  
sending an acknowledgment to the first master node in response to the broadcast message, said acknowledgement indicating that said third node has been informed that said second master node is assuming responsibility for mastering said one or more resources; and

after said sending an acknowledgment, sending subsequent lock requests for the one or more of the shared resources to the second master node.

22. (New) A computer-readable medium carrying one or more sequences of instructions which, when executed by one or more processors, causes the one or more processors to perform the method recited in Claim 1.
23. (New) A computer-readable medium carrying one or more sequences of instructions which, when executed by one or more processors, causes the one or more processors to perform the method recited in Claim 2.
24. (New) A computer-readable medium carrying one or more sequences of instructions which, when executed by one or more processors, causes the one or more processors to perform the method recited in Claim 3.
25. (New) A computer-readable medium carrying one or more sequences of instructions which, when executed by one or more processors, causes the one or more processors to perform the method recited in Claim 4.
26. (New) A computer-readable medium carrying one or more sequences of instructions which, when executed by one or more processors, causes the one or more processors to perform the method recited in Claim 5.
27. (New) A computer-readable medium carrying one or more sequences of instructions which, when executed by one or more processors, causes the one or more processors to perform the method recited in Claim 6.



28. (New) A computer-readable medium carrying one or more sequences of instructions which, when executed by one or more processors, causes the one or more processors to perform the method recited in Claim 7.

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Informal Proposed Amendment	09/493,673

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NOTES/COMMENTS:

**Attached please find the Informal Proposed Amendment.****Christian Nicholas**

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